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## FACSIMILE

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TO	Examiner Leung	February 12, 2004
	NAME	DATE
	USPTO	571-273-1943 <i>Pub</i>
	COMPANY/FIRM	FAX #
	NUMBER OF PAGES INCLUDING COVER: _____	CONFIRM FAX: <input type="checkbox"/> YES <input type="checkbox"/> NO
FROM	Kirsten Grueneberg	214438US
	NAME	OUR REFERENCE
	703-412-7050	09/964,463
	DIRECT PHONE #	YOUR REFERENCE

## MESSAGE

Please find the following attachments:

Applicant Initiated Interview Request Form (1 pg.)  
Copy of Date-Stamped Filing Receipt (February 2, 2004) (1 pg.)  
Copy of Amendment Cover Letter (1 pg.)  
Copy of Amendment and Request for Reconsideration (11 pp.)

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Docket No: 214438USORD

**Applicant Initiated Interview Request Form**Application No.: 09/964,463 First Named Applicant: Masaaki OnomuraExaminer: Leung Art Unit: 2828 Status of Application: pending**Tentative Participants:**(1) Examiner Leung (2) Kirsten Grueneberg

(3) \_\_\_\_\_ (4) \_\_\_\_\_

Proposed Date of Interview: 02-19-04Proposed Time: 10:00 am

(1) [ ] Telephonic (2) [x] Personal (3) [ ] Video Conference

Exhibit To Be Shown or Demonstrated: [ ] YES [x] NO

If yes, provide brief description: \_\_\_\_\_

**Issues To Be Discussed**

Issues (Rej., Obj., etc)	Claims/Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>All</u>	<u>All</u>	<u>All</u>	[ <input checked="" type="checkbox"/> ]	[ ]	[ <input checked="" type="checkbox"/> ]
(2) _____	_____	_____	[ ]	[ ]	[ ]
(3) _____	_____	_____	[ ]	[ ]	[ ]
(4) _____	_____	_____	[ ]	[ ]	[ ]

[ ] Continuation Sheet Attached

**Brief Description of Arguments to be Presented:**Detailed discussion of response filed February 2, 2004Copy is attached.An interview was conducted on the above-identified application on 2/24/04.**NOTE:**

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible

Kirsten Grueneberg  
(Applicant/Applicant's Representative Signature)Quyen Leung  
(Examiner/SPE Signature)

FEB. 12. 2004 3:37PM

O. SPIVAK

NO. 329.: ChP. 31

By: NFO/CL/pae

OSMM&N File No. 214438US0RD

Serial No. 09/964.463

In the matter of the Application of: Masaaki ONOMURA, et al.

For: SEMICONDUCTOR LASER DIODE

Due Date: January 31, 2004

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Dep. Acct. Order Form
- Amendment Cover Sheet
- Amendment and Request for Reconsideration



**COPY**

Docket No. 214438US0RD  
 IN RE APPLICATION OF: Masaaki ONOMURA, et al.  
 SERIAL NO: 09/964,463  
 FILED: September 28, 2001  
 FOR: SEMICONDUCTOR LASER DIODE

COMMISSIONER FOR PATENTS  
 ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is an Amendment and Request for Reconsideration in the above-identified application.

- ☐ No additional fee is required  
☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.  
☐ Additional documents filed herewith:

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	30	MINUS	23	7	x \$18 =	\$126.00
INDEPENDENT	4	MINUS	3	1	x \$86 =	\$86.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$290 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$212.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL				\$212.00

- ☒ A check in the amount of \$212.00 is attached.  
☐ Credit card payment form is attached to cover the fees in the amount of \$0.00  
☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.  
☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,  
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